

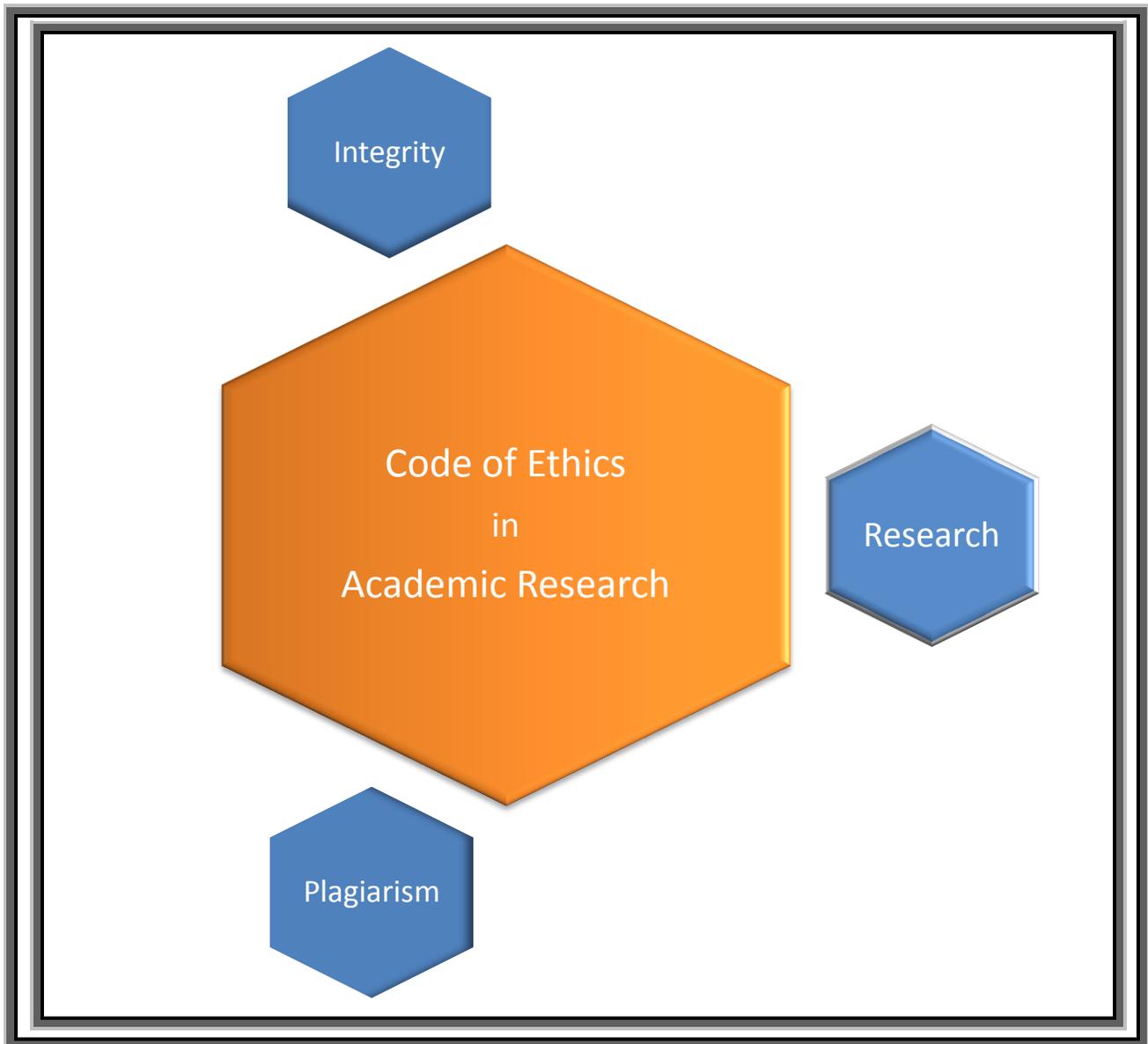
Code of Ethics in Academic Research

PIMPRI CHINCHWAD EDUCATION TRUST'S
S.B.PATIL INSTITUTE OF MANAGEMENT

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*Centre for research, innovation and
incubation for society and entrepreneurship*



Pimpri Chinchwad Education Trust's

S.B.Patil Institute of Management

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1. Preamble:

The S.B.Patil Institute of Management (SBPIM) is committed to promoting and maintaining high ethical standards of integrity and accountability in the conduct of academic research by the faculties, students including Ph.D. scholars and researchers.

The purpose of this policy is to protect the dignity, rights, wellbeing of participant's/researcher's, reputation of the institute, to manage and mitigate the risk arising from research and to ensure the ethical practices embedded in the research work.

This policy is applicable w.e.f. January 2015 to all studies and research work carried out in connection with SBPIM, by faculties and students.

2. Background:

Government of India announced plagiarism as an academic fraud and unethical due to which the student or research scholar may attract punishment.

3. Scope:

This policy is applicable to the Ph.D. Research work, research projects (summer Internship Project and Dissertation), funded project, research papers/articles published by SBPIM. SBPIM is committed to prevent the plagiarism in the academic research work. At present, prevention and detection of Plagiarism has been enforced exclusively to Ph.D. Research Work.

3.1 Ph.D. Research Work:

For Ph.D. Research work the Savitribai Phule Pune University has laid the guidelines for Plagiarism. The Oxford Dictionary defines plagiarism as “the practice of taking someone else’s work or ideas and passing them off as one’s own”. Plagiarism is the deliberate or unintentional copying of ideas, text, data without the due permission and acknowledgement of author. Though the degree of severity can vary, plagiarism always amounts to ethical misconduct and requires redressal. The use of someone else’s work in one’s own is not by itself unethical. A limited amount of textual material in someone else’s paper can be copied if it is clearly marked as a quote (typically by enclosing it within quotation marks) and the source is explicitly cited where the quote starts or ends. Alternatively, text may be paraphrased with a general indication of where the concepts originated. Occasional re-ordering or substituting of words is not sufficient to count as paraphrasing: the recommended procedure is to read and understand the source material, then put it away and express the idea in one’s own words. Besides textual material, the incorporation of ideas, figures, graphs etc. from other sources in a manner that conveys a false impression that they are original amounts to plagiarism. Taking one’s own published results and reproducing them in another work as if they were new is “self-plagiarism”. “Duplicate publication” – submitting the same research results to two or more journals and treating them as separate publications – is also a form of self-plagiarism and must be avoided.

SBPIM is committed to prevent the plagiarism in the academic research work. At present SBPIM has mechanism for the detection and prevention of Plagiarism by establishing Research Committee. As per prescribed rule and regulations of Savitribai Phule Pune University, the Plagiarism percentage should be below 30 percent and SBPIM follows the

SPPU Guideline. SBPIM has the access of www.turnitin.com to check the plagiarism of the Ph.D. research work.

In SBPIM plagiarism would be quantified into following levels of severity for the purpose of its definition:

Similarities up to 30% - excluded

Level 1: Similarities above 30% to 40 %

Level 2: Similarities above 40% to 60 %

Level 3: Similarities above 60% to 80 %

Level 4: Similarity above 80 %

3.1.1 Penalties for Students

The Director will appoint a standing Committee on such issues, based on recommendations of the committee, penalty will be imposed by considering the severity of the Plagiarism.

- i. Level 1: Similarities above 30 to 40% - Such student will be asked to submit a revised script within a stipulated time period not exceeding 1 month.
- ii. Level 2: Similarities above 40% to 60% - Such student will be asked to submit a revised script after a time period of six months but not exceeding one year.
- iii. Level 3: Similarities above 60% to 80% - Such student will be asked to submit a revised script after a time period of one year but not exceeding eighteen months.
- iv. Level 4: Such student registration for that Ph.D. course will be cancelled.

3.2. Research Projects (Summer Internship Projects and Dissertation):

The research project is carried out by the student under the supervision of internal project guide assigned by the institute. To assess and evaluate the research project work carried out by the students, the institute has a research committee and expert team of SBPIM faculties as per the specialization. The committee and team assess and evaluate the project work done by students and then the finalization of research work is done and a project report is submitted by the students to the University and institute.

3.3 Funded Projects:

The collaborative research project contemplated hereunder, the parties agree to cooperate in good faith towards the protection and commercialization of any such intellectual property. The parties also agree to negotiate in good faith an appropriate agreement for the equitable sharing of any proceeds resulting from the commercialization of the intellectual property based on the respective contributions to the development of the intellectual property by each party.

3.4 Research Paper Published by the institute:

The institute encourages the publication and dissemination of results of high quality research. It also expects that researchers shall engage in the process of publishing and dissemination of their work responsibly and with an awareness of the consequences of any such dissemination in the wider media.

Results should be published in a form appropriate to the academic discipline. The Institute requires that all individuals listed as authors accept responsibility for the contents of the publication and can identify their contribution to it. Authors should have

participated sufficiently in the research to take public responsibility for the content. The institute reserves the copy right of the research papers published by SBPIM.

4 Responsibilities:

Researchers are responsible for assessing the appropriate route for ethical review for their project, with guidance as necessary, making the application and waiting until full approval has been granted before initiating their project.

Supervisors of student researchers are responsible for ensuring the student is aware of University research ethics review and approval procedures and that the appropriate ethical review procedure is followed by the student. Supervisors of student research are ultimately responsible for the ethical conduct of the research and the student researcher.

Student researchers are responsible for familiarizing themselves with University and institute requirements for ethical review and approval of research and for carrying out their study in compliance with good research practice and professional ethical guidance relevant to their subject area.

5 Research Committees:

Research committees have been established to promote the research priority of the Institute. The Research Committee has primary responsibility for implementing and ensuring the ethical practices embedded in the research work, research strategy and monitoring it on an ongoing basis. It identifies any academic areas where there are research gaps and creates the enabling environment so research can flourish. The Research Committee is also responsible for evaluating and approval of research work (research project, research paper, etc.) undertaken by the faculty and students.

The Research Committee appointed by the Director consists of Head Research and other faculties to promote the research culture, integrity and responsible research conduct and prevention of plagiarism in the academic research work. The members of Research Committee are as follows:

| Sr.No. | Name of Member | Designation of the Member |
|---------------|---|----------------------------------|
| 1 | Dr. Hansraj Thorat (Head Research, SBPIM) | Chairman |
| 2 | Dr. Kirti Dharwadkar (Head Academics, SBPIM) | Member |
| 3 | Dr. Padmalochana Bisoyi Assistant Professor, SBPIM | Member |
| 4 | Dr. Bhushan Pardeshi Assistant Professor, SBPIM | Member & Coordinator |

6 Awareness Programme and Training:

SBPIM shall conduct awareness programmes for the students and faculties to guide and sensitize them towards plagiarism and display responsible conduct in research, project work, thesis, etc.

7 Reporting of misconduct:

Suspected ethical misconduct at SBPIM must be reported to the Director. There will be no reprisal for complaints made in all sincerity and good faith, even if they later turn out to be unfounded. However, complaints that turn out upon investigation to have been falsely made with deliberate intent to malign the accused will be treated as a serious form of ethical misconduct. Complaints can be made by anyone, not necessarily an Institute member. They must be signed and carry the full name and address of the complainant. Some relevant documentation must be supplied along with the complaint in order for the Director to be able to decide whether there is a prima facie case. The complainant should not give wide publicity to the complaint at this stage. Such publicity, if it occurs, can be treated as ethical misconduct even if the complaint is found to have merit and continues to be investigated.

8 Mechanism to address complaints:

The Director shall appoint a standing Committee in support to Research Committee to uphold 'Academic Ethics' for a pre-determined duration whose task is to investigate ethical complaints and also impart ethical training from time to time.

Researchers must be aware of and comply with all relevant research integrity guidance and policy, including University guidance and requirements, and their relevant professional guidelines.

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